SHEET 1 OF 1 SERIAL NO. ATTY DOCKET NO. Form PTO 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE DCT 1 8 2004 09/842,734 209284US99 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Zhiyi YU, et al. GROUP FILING DATE 2811 April 26, 2001 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB FILING DATE CLASS DATE NAME NUMBER IF APPROPRIATE INITIAL CLASS 5,122,679 06/16/92 ISHII ET AL ΖV ZW 6,232,806 05/15/01 **WOESTE ET AL** 07/04/95 ITOH ET AL ZX 5,430,397 SUZUKI ZY 6,151,240 11/21/00 03/04/03 BOJARCZUK, JR ET AL 77 6,528,374 07/08/03 **DALTON ET AL** 6,589,887 A1 A2 11/12/91 **CAMBOU ET AL** 5,064,781 2002/0052061 **FITZGERALD** A3 05/02/02 CHAR ET AL A4 5,696,392 12/09/97 **A5** 5,986,301 11/16/99 **FUKUSHIMA ET AL** 12/11/01 LIU ET AL A6 6,329,277 Α7 A8 Α9 FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT DATE COUNTRY NUMBER NO YES 12/29/99 WIPO CCS WO 99/67882 WIPO WO 95/02904 01/26/95 CCT WIPO WO 02/009150 01/31/02 CCU 0 766 292 04/02/97 **EUROPE** CCV 01/05/00 **GERMANY** CCW 198 29 609 CCX 1 069 605 01/17/01 **EUROPE** 03/11/98 **EUROPE** 0 828 287 CCY CCZ 01/30/02 EUROPE 1 176 230 OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) YI W. et al; "Mechanism of cleaning Si (100) surface using Sr and SrO for the growth of crystalline SrTiO/sub 2/films" Journal of Vacuum Science & Technology, Vol. 20, No. 4, July 2002 (2002-07) pp. 1402-1405 LLAP XIAMING HU et al; "Sr/Si template formation for the epitaxial growth of SrTiO/sub 3/on silicon" Materials Research Society Proceedings, Vol. 716, 2002, pp. 261-266 LLAQ LLAR IAS. Additional References sheet(s) attached **Date Considered** Examiner  $<\!\!\!<$ 

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